PATENT

Box Patent Application Commissioner for Patents Washington, D.C. 20231

| Docket | No. | 3221 |
|--------|------|----------|
| Jocket | 140. | <u> </u> |

NEW APPLICATION TRANSMITTAL

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on October 2003 "Express Mail Post Office to Addressee" Mailing Label Number EU381348511US in an envelope addressed to the: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

> Nancy S. Dedek (Name of person mailing paper)

Inventor(s): John J. Mullay, Jeffrey M. Carey, James H. Bush and Stuart L. Bartley

NOVEL OIL-IN-WATER EMULSIFIERS Title:

| 1. | Type | of | App | licati | on |
|----|------|----|-----|--------|----|
|----|------|----|-----|--------|----|

□ Original

□ Continuation

□ Divisional

☐ Continuation-in-part (CIP)

2. Fee Calculation (37 CFR 1.16)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| CLAIMS AS FILED | | | | | | |
|--|--------------|----------|---|--|--|--|
| Number Filed | Number Extra | Rate | Basic Fee 37 CFR 1.16(a) \$770.00 | | | |
| Total Claims (37 CFR 1.16(c)) 20 - 20 = | 4 X | \$18.00 | 0 | | | |
| Independent Claims (37 CFR 1.16(b)) 2 - 3 = | 0 X | \$86.00 | 0 | | | |
| Multiple dependent claim(s), if any (37 CFR 1.16(d)) | None | \$290.00 | 0 | | | |

☐ Amendment cancelling extra claims enclosed.

3. Fee Payment Being Made and Authorization to Charge Fees

770.00 basic filing fee \times X recording assignment (\$40.00; 37 CFR 1.21(h))

Charge Account No. 12-2275 in the amount of \$ 810.00 . A duplicate of this transmittal is attached.

40.00

| 4. | Papers Encl sed Which Are | Required For Filing Dat Under 37 CFR 1.53(b) Application |
|----------------------------|--|---|
| | 23 Pages of specificat | ion <u>1</u> Pages of Abstract |
| | 4_ Pages of claims | 0 Sheets of drawing |
| 5. | Additi nal pap rs nclosed | |
| | ☐ Preliminary Amendment | |
| | ☑ Information Disclosure | □ Other |
| | Statement | ☑ Return postcard receipt |
| | ☑ FORM PTO-1449 | Incorporation by reference statement of previous appln. |
| 6. | Declaration or oath | |
| | ⊠ Newly executed and enclosed | ☐ Unexecuted and enclosed ☐ Copy from prior application (for continuation/divisional) |
| 7. | Assignment | |
| | An assignment of the inv Ohio 29400 Lakeland Re | rention to The Lubrizol Corporation, a corporation of the State of bulevard, Wickliffe, Ohio 44092-2298, is attached. |
| | • | et for Assignment (Document) Accompanying New Patent |
| 8. | Authorization to Charge Ad | dditional Fees |
| | ☑ The Commissioner is this paper and during No. 12-2275: | hereby authorized to charge the following additional fees b the entire pendency of this application to Accour |
| | ☑ 37 CFR 1.16(a)-(e) | |
| | | |
| | | in the above fee or credit any overpayment to Deposit |
| | | Total fees enclosed \$_810.00_ |
| 9. | U.S. application(s). End | tion(s) (35 USC 120) ng transmitted claims the benefit of prior closed find an additional Added Page for New Where Benefit of Prior U.S. Application(s) Claimed |
| | ☐ Plus an Additional | Added Pages For Papers Referred To In Item 5 Above |
| | | transmittal ends with this page. |
| _ | | Consollar |
| He | eg. No. 31,360 | Signature of Attorney/Agent |
| Telephone No. 440-347-5072 | | Teresan W. Gilbert Type or print name of Attorney |
| гa | acsimile No. 440-347-1110 | The Lubrizol Corporation 29400 Lakeland Boulevard |

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